HERTFORDSHIRE PARTNERSHIP UNIVERSITY NHS FOUNDATION TRUST
FOI 3642 : 08/09/2020

Please provide ECT information under the FOI act to the following questions: -

1. ***Please supply patient's information ECT leaflet.***

   Our Trust provides patients with the Royal College of Psychiatrists' patient information leaflet which is publically available[1]. Please follow this link.

2. ***Please supply patient ECT consent form.***

   Please see attached our Consent to Examination, Care and Treatment Policy.

3. ***Please supply any ECT reports/investigations***

   We have given full consideration to the information you require, and feel that this data constitutes personal information. This is because any recorded information that identifies individuals will constitute personal data. Therefore, we have applied S40 to this part of your request as the potential to identify individual(s) will constitute a breach of the Data Protection Act (2018)[2].

4. ***How many ECT in 2019?***

   60 patients received ECT treatment in 2019.

5. ***What proportion of patients were men/women?***
6. ***How old were they?***
7. ***What were the diagnoses and in what proportions?***
8. ***What proportion of patients were classified BAME?***
9. ***How many were receiving ECT for the first time?***
10. ***How many patients consented to ECT?***
11. ***How many ECT complaints were investigated outside the NHS and CCG?***
12. ***How many patients died during or soon after ECT and what was the cause*** (whether or not ECT was considered the cause)?
13. ***How many patients died a few months after ECT and what was the cause (whether or not ECT was considered the cause)?***
14. ***How many patients died by suicide within a few months of receiving ECT (whether or not ECT was considered the cause)?***
15. ***How many patients have suffered complications during and after ECT and what were those complications?***
20. ***Have MRI or CT scans been used before and after ECT?***
21. ***If so what was the conclusion?***

    It is not possible to provide you with the above requested breakdown of ECT information within the legal time limit[3] .

    In order to provide you with this information would involve manually reviewing the 60 records. It is estimated that it would take 24 minutes (i.e. 2 minutes per question) to scrutinise each record i.e. 24 hours @ £25 = £600.00

[1] Section 21 – Information is accessible through other means has been applied
[2] Section 40(2) - Personal Data has been applied. This is because by releasing this information could identify individual(s) and constitute a breach of the Data Protection Act (2018).
[3] Section 12 - Cost of compliance exceeds the appropriate limit has been applied

Under section 12 of the FOIA a public authority does not have to comply with a request for information if the cost of compliance exceeds the appropriate limit.

16. Have there been any formal complaints from patients/relatives about ECT?
17. If so, what was their concerns?

Due to the small number of complaints regarding ECT we have applied Exemption Section 40(2)[2]. This is because entries of 5 or less are considered sufficiently small enough to be potentially identifiable data.

On this basis, this information is exempt from the duty to publish.

18. How many patients report memory loss/loss of cognitive function?

This information is already in the public domain. Please follow this link to a previously published response[1].

19. What tests are used to assess memory loss/loss of cognitive function?

Please follow the link provided under question 18.

22. How does the Trust plan to prevent ECT in the future?

We do not hold this information[4].

[4] Section 1(1) Any person making a request for information to a public authority is entitled
(a) to be informed in writing by the public authority whether it holds information of the description specified in the request, and
(b) if that is the case, to have that information communicated to him.

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Please provide SERIOUS INCIDENT information under the FOI act to the following questions: -

23. Please supply SERIOUS INCIDENT REPORTS patient's information leaflet.

We do not hold a Serious Incident Report patient's information leaflet[4].

24. Please supply patient SERIOUS INCIDENT REPORTS consent form.

We do not hold a patient Serious Incident Reports consent form[4].

25. Please supply any serious incident reports/investigations

Please refer to the answer to question 3. We have applied S40 to this part of your request[2].

26. How many SERIOUS INCIDENT REPORTS in 2019?

The Trust reported 120 serious incidents in 2019.

27. What proportion of patients were men/women?
28. How old were they?
29. What were the diagnoses and in what proportions?
30. What proportion of patients were classified BAME?
31. How many were receiving SERIOUS INCIDENT REPORTS for the first time?
32. How many patients consented to SERIOUS INCIDENT REPORTS?
33. How many SERIUOS INCIDENT REPORTS were investigated outside the NHS and CCG?
34. How many patients died during or soon after SERIOUS INCIDENT REPORTS and what was the cause (whether or not SERIOUS INCIDENT REPORTS was considered the cause)?
35. How many patients died a few months after SERIOUS INCIDENT REPORTS and what was the cause (whether or not SERIOUS INCIDENT REPORTS was considered the cause)?
36. How many patients died by suicide within a few months of receiving SERIOUS INCIDENT REPORTS (whether or not SERIOUS INCIDENT REPORTS was considered the cause)?
37. How many patients have suffered complications during and after SERIOUS INCIDENT REPORTS and what were those complications?

It is not possible to provide you with the above requested breakdown of Serious Incidents within the legal time limit [3].

In order to provide you with this information would involve manually reviewing the 120 records. It is estimated that it would take 22 minutes (2 minutes per question) to scrutinise each record i.e. 44 hours @ £25 = £1,100.00

Under section 12 of the FOIA a public authority does not have to comply with a request for information if the cost of compliance exceeds the appropriate limit.

38. Have there been any formal complaints from patients/relatives about SERIOUS INCIDENT REPORTS?

No.

39. If so, what was their concerns?

Not applicable.

40. How many patients report memory loss/loss of cognitive function?

We do not record the number of patients who report memory loss/loss of cognitive function following a serious incident[4].

41. What tests are used to assess memory loss/loss of cognitive function?

Not applicable.

42. Have MRI or CT scans been used before and after SERIOUS INCIDENT REPORTS?

No.

43. If so what was the conclusion?

Not applicable.

44. How does the Trust plan to prevent SERIOUS INCIDENTS in the future?

This is covered in our MOSS (Making our Services Safer) Strategy[5]. Publication of this Strategy has been delayed due to the pandemic. We would welcome you to re-apply for this Strategy in 3 months' time.

[5] Section 22 - Information intended for future publication.

HERTFORDSHIRE PARTNERSHIP UNIVERSITY NHS FOUNDATION TRUST
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Please provide restraints information under the FOI act to the following questions:

45. Please supply RESTRAINTS patient's information leaflet.

We do not hold a Restraints patient's information leaflet[4].

46. Please supply patient RESTRAINTS consent form.

We do not hold a patient Restraints consent form[4].

47. Please supply any Restraints/investigations

Please refer to the answer to question 3. We have applied S40 to this part of your request[2].

48. How many RESTRAINTS in 2019?

There were 1,936 restraints in 2019.

49. What proportion of patients were men/women?
50. How old were they?
51. What were the diagnoses and in what proportions?
52. What proportion of patients were classified BAME?
53. How many were receiving RESTRAINTS for the first time?
54. How many patients consented to RESTRAINTS?
55. How many RESTRAINTS were investigated outside the NHS and CCG
56. How many patients died during or soon after RESTRAINTS and what was the cause (whether or not RESTRAINTS was considered the cause)?
57. How many patients died a few months after RESTRAINTS and what was the cause (whether or not RESTRAINTS was considered the cause)?
58. How many patients died by suicide within a few months of receiving RESTRAINTS (whether or not RESTRAINTS was considered the cause)?
59. How many patients have suffered complications during and after RESTRAINTS and what were those complications?

It is not possible to provide you with the above requested breakdown of restraint information within the legal time limit [3].

In order to provide you with this information would involve manually reviewing the 1,936 records. It is estimated that it would take 22 minutes (2 minutes per question) to scrutinise each record i.e. 709.86 hours @ £25 = £17,746.66.

Under section 12 of the FOIA a public authority does not have to comply with a request for information if the cost of compliance exceeds the appropriate limit.

60. Have there been any formal complaints from patients/relatives about RESTRAINTS?

No.

61. If so, what was their concerns?

Not applicable.

62. How many patients report memory loss/loss of cognitive function?

We do not record the number of patients who report memory loss/loss of cognitive function following a restraint[4].

63. .What tests are used to assess memory loss/loss of cognitive function?

Not applicable.

64. .Have MRI or CT scans been used before and after RESTRAINTS?

No.

65. If so what was the conclusion?

Not applicable.

66. How does the Trust plan to reduce restraints in the future?

This is covered in our MOSS (Making our Services Safer) Strategy[5].

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Please provide SECLUSION information under the FOI act to the following questions: -

67. Please supply patient's information SECLUSION leaflet.

We do not hold a patient's information Seclusion leaflet[4].

68. Please supply patient SECLUSION consent form.

We do not hold a patient Seclusion consent form[4].

69. Please supply any SECLUSION reports/investigations

Please refer to the answer to question 3. We have applied S40 to this part of your request[2].

70. How many SECLUSION in 2019?

There were 355 seclusions in 2019.

71. What proportion of patients were men/women?
72. How old were they?
73. What were the diagnoses and in what proportions?
74. What proportion of patients were classified BAME?
75. How many were receiving SECLUSION for the first time?
76. How many patients consented to SECLUSION?
77. How many SECLUSIONS were investigated outside the NHS and CCG ?
78. How many patients died during or soon after SECLUSION and what was the cause (whether or not SECLUSION was considered the cause)?
79. How many patients died a few months after SECLUSION and what was the cause (whether or not SECLUSION was considered the cause)?
80. How many patients died by suicide within a few months of receiving SECLUSION (whether or not SECLUSION was considered the cause)?
81. How many patients have suffered complications during and after SECLUSION and what were those complications?

It is not possible to provide you with the above requested breakdown of seclusion information within the legal time limit [3].

In order to provide you with this information would involve manually reviewing the 355 records. It is estimated that it would take 22 minutes (2 minutes per question) to scrutinise each record i.e. 130.16 hours @ £25 = £3,254.16.

Under section 12 of the FOIA a public authority does not have to comply with a request for information if the cost of compliance exceeds the appropriate limit.

82. Have there been any formal complaints from patients/relatives about SECLUSION?

No.

83. If so, what was their concerns?

Not applicable.

84. How many patients report memory loss/loss of cognitive function?

We do not record the number of patients who report memory loss/loss of cognitive function following seclusion[4].

85. What tests are used to assess memory loss/loss of cognitive function?

Not applicable.

86. Have MRI or CT scans been used before and after SECLUSION?

No.

87. If so what was the conclusion?

Not applicable.

88. How does the Trust plan to prevent SECLUSION in the future?

This is covered in our MOSS (Making our Services Safer) Strategy [5].

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Please provide MEDICATION ERRORS information under the FOI act to the following questions: -

89. Please supply patient's information MEDICATION ERRORS leaflet.

We do not hold a patient's information Medication Error leaflet[4].

90. Please supply patient MEDICATION ERRORS consent form.

We do not hold a patient Medication errors consent form[4].

91. Please supply any MEDICATION ERRORS reports/investigations

Please refer to the answer to question 3. We have applied S40 to this part of your request[2].

92. How many MEDICATION ERRORS in 2019?

In 2019 there were 618 reported medication incidents.

93. What proportion of patients were men/women?
94. How old were they?
95. What were the diagnoses and in what proportions?
96. What proportion of patients were classified BAME?
97. How many were receiving MEDICATION ERRORS for the first time?
98. How many patients consented to MEDICATION ERRORS?
99. How many MEDICATION ERRORS S were investigated outside the NHS and CCG?
100. How many patients died during or soon after MEDICATION ERRORS and what was the cause (whether or not MEDICATION ERRORS was considered the cause)?
101. How many patients died a few months after MEDICATION ERRORS and what was the cause (whether or not MEDICATION ERRORS was considered the cause)?
102. How many patients died by suicide within a few months of receiving MEDICATION ERRORS (whether or not MEDICATION ERRORS was considered the cause)?
103. How many patients have suffered complications during and after MEDICATION ERRORS and what were those complications?

It is not possible to provide you with the above requested breakdown of medication error information within the legal time limit [3].

In order to provide you with this information would involve manually reviewing the 618 medication incidents. It is estimated that it would take 22 minutes (2 minutes per question) minutes to scrutinise each record i.e. 226.60 hours @ £25 = £5,665.00.

Under section 12 of the FOIA a public authority does not have to comply with a request for information if the cost of compliance exceeds the appropriate limit.

104. Have there been any formal complaints from patients/relatives about MEDICATION ERRORS?

No.

105. If so, what was their concerns?

Not applicable.

106. How many patients report memory loss/loss of cognitive function?

We do not record the number of patients who report memory loss/loss of cognitive function following a medication error[4].

107. What tests are used to assess memory loss/loss of cognitive function?

Not applicable.

108. Have MRI or CT scans been used before and after MEDICATION ERRORS?

No.

109. If so what was the conclusion?

Not applicable.

110. *How does the Trust plan to prevent MEDICATION ERRORS in the future?*

Please see attached an extract from our Medicines Optimisation Strategy which focusses on medication safety.