

14 June 2023

Information Rights & Compliance Team 99 Waverley Road St Albans

St Albans Hertfordshire AL3 5TL

Tel: 01727 804227 Email: <u>Hpft.foi@nhs.net</u>

Our Ref: FOI/04677

Thank you for your request concerning ECT Information.

Your request has been considered and processed in accordance with the requirements of the Freedom of Information (FOI) Act 2000.

Please provide ECT information under the FOI act to the following questions: -

- 1. Please supply patient's information ECT leaflet.
- 2. Please supply patient ECT consent form
- 3. Please supply any ECT reports/investigations

After consideration, questions 1 to 3 have been found to be vexatious¹. Persistent requests from you requesting the same information causes a disproportionate and/or unjustified level of disruption, irritation or distress.

Under Section 16 – duty to provide advice and assistance can we suggest you refer to our previous responses where we either provided the information or an explanation as to why we cannot provide the information.

FOI 4400

FOI 4333

FOI 3642

4. How many ECT in 2022?

34 service users received ECT treatment in 2022.

- 5. What proportion of patients were men/women?
- 6. How old were they?
- 7. What proportion of patients were classified people of the global majority or racialised communities ("POC / BAME")?
- 8. How many were receiving ECT for the first time?
- 9. How many patients consented to ECT?
- 10. How many ECT complaints were investigated outside the NHS and CCG?
- 11. How many patients died during or 1 month after ECT and what was the cause (whether or not ECT was considered the cause)?
- 12. How many patients died within 6 months after ECT and what was the cause (whether or not ECT was considered the cause)?
- 13. How many patients died by suicide within 6 months of receiving ECT (whether or not ECT was considered the cause)?
- 14. How many patients have suffered complications during and after ECT and what were those complications?



Questions 5 to 14 have been considered as vexatious. Please see explanation given under question 3.

15. Have there been any formal complaints from patients/relatives about ECT?

1 formal complaint was received mentioning ECT treatment in 2022.

16. If so, what was their concerns?

Due to the small number of complaints received in 2022 regarding ECT we have applied Exemption Section $40(2)^2$ to the disclosure of concern. This is because entries of 5 or less are considered sufficiently small enough to be potentially identifiable data should we disclose the nature of the complaint.

- 17. How many patients report memory loss/loss of cognitive function?
- 18. What tests are used to assess memory loss/loss of cognitive function?
- 19. Have MRI or CT scans been used before and after ECT?
- 20. If so, what was the conclusion?
- 21. How does the Trust plan to prevent ECT in the future?

Questions 17 to 21 have been considered as vexatious. Please see explanation given under question 3.

Please provide SERIOUS INCIDENT information under the FOI act to the following questions: -

22. Please supply any serious incident reports/investigations?

Question 22 has been considered as vexatious. Please see explanation given under question 3.

23. How many SERIOUS INCIDENT REPORTS in 2022?

There were no serious incidents related to the ECT Service reported in 2022.

24. What proportion of patients were men/women?

Not applicable.

25. How old were they?

Not applicable.

26. What proportion of patients were classified people of the global majority or racialised communities ("POC / BAME")?

Not applicable.

- 27. How many SERIOUS INCIDENT REPORTS were investigated outside the NHS and CCG?
- 28. How many patients died during or 1 month after SERIOUS INCIDENT REPORTS and what was the cause (whether or not SERIOUS INCIDENT REPORTS was considered the cause)?
- 29. How many patients died within 6 months after SERIOUS INCIDENT REPORTS and what was the cause (whether or not SERIOUS INCIDENT REPORTS was considered the cause)?
- 30. How many patients died by suicide within 6 months of receiving SERIOUS INCIDENT REPORTS (whether or not SERIOUS INCIDENT REPORTS was considered the cause)?
- 31. How many patients have suffered complications during and after SERIOUS INCIDENT REPORTS and what were those complications?

² Section 40(2) - Personal Data has been applied. This is because by releasing this information could identify individual(s) and constitute a breach of the Data Protection Act (2018).

Questions 27 to 31 have been considered as vexatious. Please see explanation given under question 3.

32. Have there been any formal complaints from patients/relatives about SERIOUS INCIDENT REPORTS?

There were no formal complaints received arising from a Serious Incident Report in 2022.

33. If so, what was their concerns?

Not applicable.

34. How does the Trust plan to prevent SERIOUS INCIDENTS in the future?

Question 34 has been considered as vexatious. Please see explanation given under question 3.

Please provide restraints information under the FOI act to the following questions: -

35. Please supply any Restraints/investigations?

Question 35 has been considered as vexatious. Please see explanation given under question 3.

36. How many RESTRAINTS in 2022?

1,937 restraints were reported in 2022.

- 37. What proportion of patients were men/women?
- 38. How old were they?
- 39. What proportion of patients were classified people of the global majority or racialised communities ("POC / BAME")?
- 40. How many RESTRAINTS were investigated outside the NHS and CCG?
- 41. How many patients died during or 1 month after RESTRAINTS and what was the cause (whether or not RESTRAINTS was considered the cause)?
- 42. How many patients died within 6 months after RESTRAINTS and what was the cause (whether or not RESTRAINTS was considered the cause)?
- 43. How many patients died by suicide within 6 months of receiving RESTRAINTS (whether or not RESTRAINTS was considered the cause)?
- 44. How many patients have suffered complications during and after RESTRAINTS and what were those complications?

Questions 37 to 44 have been considered as vexatious. Please see explanation given under question 3.

45. Have there been any formal complaints from patients/relatives about RESTRAINTS?

There were no formal complaints received mentioning restraints in 2022.

46. If so, what was their concerns?

Not applicable.

47. Are counts of forced injections available?

48. How does the Trust plan to reduce restraints in the future?

Questions 47 to 48 have been considered as vexatious. Please see explanation given under question 3.

Please provide SECLUSION information under the FOI act to the following questions: -

49. Please supply any SECLUSION reports/investigations

Question 49 has been considered as vexatious. Please see explanation given under question 3.

50. How many SECLUSIONS in 2022?

464 seclusions were reported in 2022.

- 51. What proportion of patients were men/women?
- 52. How old were they?
- 53. What proportion of patients were classified people of the global majority or racialised communities ("POC / BAME")?
- 54. How many SECLUSIONS were investigated outside the NHS and CCG?
- 55. How many patients died during or 1 month after SECLUSION and what was the cause (whether or not SECLUSION was considered the cause)?
- 56. How many patients died within 6 months after SECLUSION and what was the cause (whether or not SECLUSION was considered the cause)?
- 57. How many patients died by suicide within 6 months of receiving SECLUSION (whether or not SECLUSION was considered the cause)?
- 58. How many patients have suffered complications during and after SECLUSION and what were those complications?

Questions 51 to 58 have been considered as vexatious. Please see explanation given under question 3.

59. Have there been any formal complaints from patients/relatives about SECLUSION?

1 formal complaint was received mentioning seclusion in 2022.

60. If so, what was their concerns?

Due to the small number of complaints received in 2022 regarding seclusion we have applied Exemption Section 40(2) to the disclosure of concern. This is because entries of 5 or less are considered sufficiently small enough to be potentially identifiable data should we disclose the nature of the complaint.

61. How does the Trust plan to reduce SECLUSIONS in the future?

Question 61 has been considered as vexatious. Please see explanation given under question 3.

Please provide MEDICATION ERRORS information under the FOI act to the following questions:

62. Please supply any MEDICATION ERRORS reports/investigations

Question 62 has been considered as vexatious. Please see explanation given under question 3.

63. How many MEDICATION ERRORS in 2022?

900 Medication errors were reported in 2022.

64. What proportion of patients were men/women?

65. How old were they?

- 66. What proportion of patients were classified people of the global majority or racialised communities ("POC / BAME")?
- 67. How many MEDICATION ERRORS were investigated outside the NHS and CCG?
- 68. How many patients died during or 1 month after MEDICATION ERRORS and what was the cause (whether or not MEDICATION ERRORS was considered the cause)?
- 69. How many patients died within 6 months after MEDICATION ERRORS and what was the cause (whether or not MEDICATION ERRORS was considered the cause)?
- 70. How many patients died by suicide within 6 months of receiving MEDICATION ERRORS (whether or not MEDICATION ERRORS was considered the cause)?
- 71. How many patients have suffered complications during and after MEDICATION ERRORS and what were those complications?

Questions 64 to 71 have been considered as vexatious. Please see explanation given under question 3.

72. Have there been any formal complaints from patients/relatives about MEDICATION ERRORS?

There were no formal complaints received mentioning medication errors in 2022.

73. If so, what was their concerns?

Not applicable.

74. How does the Trust plan to prevent MEDICATION ERRORS in the future?

Question 74 has been considered as vexatious. Please see explanation given under question 3.

Under Section 16 – Duty to provide advice and assistance, can we suggest that you reduce the size of your request.

On numerous occasions we have exempt your questions and given you a full explanation as to why we cannot provide the information. Future requests with these questions will not be responded to.

Should you require further clarification, please do not hesitate to contact me.

Please find enclosed an information sheet regarding copyright protection and the Trust's complaints procedure in the event that you are not satisfied with the response.

Yours sincerely

Sue Smith

Sue Smith Information Rights Officer

Enc: Copyright Protection and Complaints Procedure Information Leaflet.

If you would like to complete a short survey in relation to your Freedom of Information request please scan the QR code below or click here.

